



RISK MANAGEMENT & AUDIT COMMITTEE

MINUTES

LITCHFIELD COUNCIL COMMITTEE MEETING

Council Chambers, Litchfield

Wednesday, 22 February 2023 at 9:30am

Present	Iain Summers	Chairperson
	Cr Mathew Salter	Committee Member
	Cr Mark Sidey	Committee Member
	Mayor Doug Barden	Ex-Officio
Staff	Stephen Hoyne	Chief Executive Officer
	Nicky Davidge	General Manager Community and Lifestyle
	Maxie Smith	General Manager Business Excellence
	Rebecca Taylor	A/Manager People and Performance
	Megan Leo	Executive Support
Presenters	Nil	

1. OPENING OF THE MEETING

The Chairperson, Iain Summers opened the meeting at 9:31am

2. APOLOGIES AND LEAVE OF ABSENCE

Nil.

3. DISCLOSURES OF INTEREST

Chairperson, Mr Iain Summers declared the following disclosures of interest:

- Appointed by the Litchfield Council as the independent facilitator of the current CEO performance appraisal process;
- Appointed by the NT Attorney-General as the member of the Prospective Warnindilyakwa Regional Council, which, if funded, may be established as a separate Regional Council on Groote Eylandt; and
- Chair, Audit Risk and Compliance Committee of the Office of the Independent Commissioner Against Corruption in the NT.

4. CONFIRMATION OF MINUTES

Moved: Cr Salter

Seconded: Cr Sidey

THAT:

1. the minutes of the Risk Management Audit Committee Meeting held Wednesday 26 October 2022, 5 pages, be confirmed; and
2. the confidential minutes of the Risk Management Audit Committee Meeting (confidential minutes circulated to RMAC Members under separate cover) held Wednesday 26 October 2022, 3 pages, be confirmed.

CARRIED (3-0)

5. BUSINESS ARISING FROM THE MINUTES

Moved: Cr Sidey

Seconded: Cr Salter

THAT the Risk Management and Audit Committee note and receive the Action Sheet.

CARRIED (3-0)

6. PRESENTATIONS

Nil presentations.

7. ACCEPTING OR DECLINING LATE ITEMS

Nil.

8. OFFICERS REPORTS

8.01 FIN09 Risk Management and Audit Committee Policy

Moved: Cr Salter

Seconded: Cr Sidey

THAT the Risk Management and Audit Committee approve the draft FIN09 Risk Management and Audit Committee policy, at Attachment A, with recommended amendments, for presentation at the March 2023 Ordinary Council Meeting.

CARRIED (3-0)

8.02 Risk Register

Moved: Cr Sidey

Seconded: Cr Salter

THAT the Risk Management and Audit Committee:

1. note the updated risk register at Attachment A;
2. note the completed actions in the risk dashboard of the risk register, which will be removed from the action list;
3. receive a report about the need for Driveways Asset Management Plan; and
4. recommend a budget allocation in 2023/24 for an externally facilitated review of the current Risk Register.

CARRIED (3-0)

8.03 Internal Audit Plan

Moved: Cr Salter

Seconded: Cr Sidey

THAT the Risk Management and Audit Committee:

1. receive and note the progress on the internal audits;
2. request a recommendation for an alternative internal audit if the Human Resource policy topic does not need to proceed; and
3. note the Council Reserve Management arrangements topic is an internal review rather than an internal audit, because the reviewers were not independent from the management activities.

CARRIED (3-0)

Mayor Baden left the meeting at 11:25am

Mayor Baden returned to the meeting at 11:27am

8.04 Meeting Schedule and Workplan

Moved: Cr Salter

Seconded: Cr Sidey

THAT the Risk Management and Audit Committee endorse the meeting schedule and workplan for 2023 with the inclusion in the May 2023 agenda of the report from the Local Government Compliance Review.

CARRIED (3-0)

9. OTHER BUSINESS

Nil.

10. CONFIDENTIAL ITEMS

Moved: Cr Salter
Seconded: Cr Sidey

THAT pursuant to Section 93(2) of the *Local Government Act 2019* and Regulation 51(1) of the *Local Government (General) Regulations* the meeting be closed to the public to consider the following Confidential Items:

10.1 Management Response – 2021-2022 Audit Findings

Regulation 51(1) For section 293(1) of the Act, the following information is prescribed as confidential:

(e) subject to subregulation (3) – information provided to the council on condition that it be kept confidential and would, if publicly disclosed, be likely to be contrary to the public interest.

10.2 Additional Independent Member

Regulation 51(1) For section 293(1) of the Act, the following information is prescribed as confidential:

(c) information that would, if publicly disclosed, be likely to:

(iv) subject to subregulation (3) – prejudice the interests of the council or some other person;

10.3 Termination Calculations

Regulation 51(1) For section 293(1) of the Act, the following information is prescribed as confidential:

(c) information that would, if publicly disclosed, be likely to:

(iv) subject to subregulation (3) – prejudice the interests of the council or some other person;

CARRIED (3-0)

The meeting moved to the Confidential Session at 11:42am.

Moved: Cr Sidey
Seconded: Chairperson Iain Summers

THAT pursuant to Section 93(2) of the *Local Government Act 2019* and Regulation 51(1) of the *Local Government (General) Regulations* the meeting be re-opened to the public.

The meeting was moved to Open Session at 12:12pm.

11. CLOSE OF MEETING

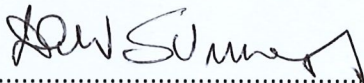
The Chair closed the meeting at 12:12pm.

12 NEXT COMMITTEE MEETING

Wednesday 31 May 2023.

MINUTES TO BE CONFIRMED

Wednesday 31 May 2023.



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Iain Summers
Chairperson